

(Lien Request Letter from Investor)

**To,**

**Date:** \_\_\_\_\_

**Trust Mutual Fund**

801, Naman Centre,  
Bandra Kurla Complex, Bandra East,  
Mumbai- 400051

**Re: Pledge/Lien of Units in scheme and folio as mentioned below.**

Dear Sir/Madam,

**Folio No:** \_\_\_\_\_

**Scheme Name:** Trust Mutual Fund – (please mention along with plan and option)

**Units to be pledged/Lien marked:** (units only, no amount)

**Lien in Favour of:** (name of the bank / financial institution)

**Address of Institution:** (address of bank / financial institution)

1. I/We are holding units in the scheme in the folio number as per details mentioned above, in Trust Mutual Fund.
2. I/We now wish to create a pledge (“Pledge”)/lien on the said units held by me/us in favour of a bank/a financial institution (“Pawnee”), as mentioned above in consideration for a loan of Rs. \_\_\_\_\_
3. I/We hereby request you to recognize the said Pledge on the Units mentioned above and to place the same on your records. Payments sent to the Pawnee of units mentioned above will be treated in the same manner as if they were validly made to me/us.
4. All payments for dividends paid out from time to time on the above mentioned units, during the term of the Pledge, shall be sent to the me/us only. All benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien.
5. During the period of the Pledge, the Pawnee shall have the right to transfer the units mentioned above to itself or sell or redeem the units mentioned above by written notice to the Mutual Fund irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the Units by the Pawnee shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorize the same. Such payment to the Pawnee by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.

6. I/We confirm that there is no prior pledge/charge/lien upon the Units, which has not been registered with the Fund. I/We also confirm that the lien is on the number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.
7. I/We hereby undertake to indemnify at all times, the Fund, Trustees, Directors, Asset Management Company, its Registrars & Share Transfer Agents, successors and assigns, from and against all actions, causes of action, suits, proceedings, accounts, claims and demands whatsoever arising out of or on account of or in connection with the said Pledge of the Units and fraudulent redemption of units under pledge whether intentional or accidental.

Thanking you,

Yours sincerely,

**Signature:**

**Signature:**

**Signature:**

(            Name            )

(            Name            )

(            Name            )

**First/Sole Unitholder**

**Second Unitholder**

**Third Unitholder**

Full address of Unit Holders (Pawnors)

**Place:**